

A better way to mix and

# match

A man in a dark suit and tie stands behind the word 'match', which is rendered in a large, bold, grey sans-serif font. The man is positioned behind the 'h' and is looking towards the camera.

**your assets**

Maximising the potential of the  
Optima Investment Portfolio service

## Why use Thesis?

Statistics confirm the growing popularity of multi-manager investing, indicating that, increasingly, advisers are happy to outsource some aspects of their investment process to other investment professionals. However, the sheer number of choices of multi-manager or fund of funds in the marketplace now makes selecting the right proposition for your clients ever more tricky.

We are specialist asset managers. We are clear about our proposition and the role that Thesis has in a collaborative relationship with Financial Planners, to the benefit of clients who require a range of wealth management services.

Our focus is to deliver capital growth and income through proactive management. In supporting Financial Planners, we can achieve this through a number of different structures all of which recognise the critical and central role you serve to your clients.

"Investment expertise, active management and excellent client communication combine to make Optima a compelling proposition."

**Ian Creswick,  
Investment Manager**



Why use our

# Optima Investment Portfolio service?

Quite simply, because it places you as a Financial Planner at the very heart of the proposition. By working within our Optima service you can continue to dictate the asset allocation for your client and blend different investment styles, levels of risk and capital growth or income objectives to meet your recommended financial strategy for your client; and importantly, all of this is conveniently wrapped within a single portfolio account.

As the client's Financial Planner you dictate the overall investment strategy. To help Financial Planners mix and match different combinations of Optima funds and create desired mixes in isolation or in relation to a client's other assets; we can provide you with access to our Optima portfolio-modelling tool - Optimiser.

There are many different managed investment solutions available in the UK marketplace - and we recognise that we have to combine the highest standards of investment expertise and service to earn the right to manage your clients' money.

Using collective funds rather than direct holdings to construct a client's portfolio doesn't mean an inferior solution. An investment into a Thesis Optima fund is accessing the expertise of the whole of Thesis' investment team and the manager who selects each fund's underlying investments.

An investment into the Optima service provides the same diversification benefits and access to the same investment selections and tactical decisions as our bespoke Personal Investment Portfolio service. Optima should be considered a managed account in its own right and with a minimum investment limit from £10,000 provides a portfolio solution for small or large investors alike.

Structuring your client's investment requirements through a portfolio fund solution such as Optima can have tax advantages. In particular it enables Thesis to actively trade inside the funds and move money between investments and asset classes without having to consider a client's personal tax considerations. This provides greater scope to allocate assets depending on market conditions, and to switch between holdings to always be invested in our preferred investments.



# Our investment process

Thesis' collective investment vehicle selection process has been developed from over 25 years of fund selection experience for both private client and institutional portfolios. There are more than 40,000 eligible unit trusts, OEICs, investment trusts and offshore vehicles available for investment. Our fund management selection seeks to identify funds that are likely to outperform their peers and provide strong long-term, consistent performance.

Our fund management selection involves a comprehensive, quantitative and qualitative screening process based on a four-step approach:

## 1. Quantitative screening

Performance and risk adjusted screening of a fund.

## 2. Qualitative screening

Due diligence and initial research to include the assessment of:

- fund management group
- fund manager and team
- fund specifics.

This will then involve face-to-face meetings with fund managers.

## 3. Pooled Vehicles Committee

Any proposal is brought to the committee, where the fund is accepted or declined based on our quantitative and qualitative research.

## 4. Ongoing surveillance

Monitoring and reporting of funds held to assess their ongoing suitability.



## Communication

As users of the Optima service, we believe that Financial Planners and their clients should expect more than just a fund factsheet once or twice a year setting out the performance of a fund. Instead the Optima service will provide you - as the adviser, and the client - as the investor, a detailed twice-yearly formal report as well as end of year tax information packs for use in tax returns.

## What is the Optima Portfolio [service?](#)

Thesis offers a range of unit trust funds designed to meet different investment objectives. Our Optima service provides the investor with a portfolio account within which to invest in these funds.

Thesis' Optima funds aim to meet a client's objective of either income, growth or indeed a combination of the two. Our range of funds invest in different asset classes in varying proportions and adopt different levels of risk, coupled with a choice of investment styles including relative and absolute return approaches to investments, as well as multi-manager and direct investment.

An investment can be across one or any combination of the funds as an individual (or with up to three joint holders) or be made for Trust settlements. Optima can also provide the underlying investment solution for the use of ISA allowances or transfers, Self Invested Personal Pension Schemes and investment within other tax wrappers.

Depending in which fund an investment is made, income can either be paid quarterly or half yearly after the dividend payment date, or we can put in place more bespoke arrangements such as the funding of a fixed monthly or quarterly distribution. Otherwise income can simply be accumulated for reinvestment.

Investors in Thesis Optima funds through our Optima Portfolio Service receive a twice yearly formal report including valuation, account statement and managers' comment on the performance of the funds.



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Visit our website

[www.thesis-plc.com](http://www.thesis-plc.com)

Thesis Asset Management plc and Thesis Unit Trust Management Limited are authorised and regulated by the Financial Services Authority.

Investors should be aware that the value of their investments and the income from them can fall as well as rise and investors may not receive back the full amount they invest.

Past performance is not necessarily a guide to future performance.

Investments denominated in foreign currencies are subject to fluctuations in exchange rates which can be favourable or unfavourable.