

The Optima Portfolio Service

Clearly different. Uniquely rewarding.



"Thesis' Optima Service offers investors access to Thesis' Optima unit trusts, coupled with clear and concise reporting. Our Optima Service provides either a single fund or blend of funds portfolio solution to meet your investment objectives, and reduce paperwork"

Steven Richards,
Optima Investment Manager



"Investment expertise, active management and excellent client communication combine to make Optima a compelling proposition."

Ian Creswick,
Investment Manager

Optima at a glance

- A single fund or blend of funds portfolio solution
- Clear and concise reports sent to clients every March and September
- Available for investments from £10,000 upwards
- A choice of underlying investments styles
- Different risks and fund objectives to meet your needs
- Flexible income payment options
- Underlying investments are actively managed
- Greater scope to allocate assets depending on market conditions, and to switch between holdings to always be invested in our preferred investments.

What is the Optima Portfolio Service?

Thesis offers a range of unit trust funds designed to meet different investment objectives. Our Optima Service provides the investor with a portfolio account within which to invest in these funds.

Thesis' Optima funds aim to meet a client's objective of either income, growth or indeed a combination of the two. Our range of funds invest in different asset classes in varying proportions and adopt different levels of risk, coupled with a choice of investment styles including relative and absolute return approaches to investments, as well as multi-manager and direct investment.

An investment can be across one or any combination of the funds as an individual (or with up to three joint holders) or be made for Trust settlements. Optima can

also provide the underlying investment solution for the use of ISA allowances, PEP Transfers, Self Invested Personal Pension Schemes and investment within other tax wrappers.

Depending in which fund an investment is made, income can either be paid quarterly or half yearly after the dividend payment date, or we can put in place more bespoke arrangements such as the funding of a fixed monthly or quarterly distribution. Otherwise income can simply be accumulated for reinvestment.

Investors in Thesis Optima funds through our Optima Portfolio Service receive a twice yearly formal report including valuation, account statement and managers' comment on the performance of the funds.

Why Choose Optima?

There are many different managed investment solutions available in the UK marketplace - and we recognise that we have to combine the highest standards of investment expertise and service to earn the right to invest our clients' money.

- **Investment Expertise:** Thesis' Optima funds aim to achieve this foremost through their investment performance. An investment into a Thesis Optima fund is accessing the expertise of the whole of Thesis' Investment Team and the Manager who selects each fund's underlying investments. Within your chosen fund we then actively manage the investments with regard to meeting that fund's investment objective and therefore your own.
- **A Portfolio Solution:** An investment into an Optima fund either singularly or a blend of the funds provides the same diversification benefits and access to the same investment selections as our bespoke management service. Thesis' Optima funds can therefore be considered a portfolio in their own right and provide a portfolio solution to the smaller investor.

For those with larger sums Thesis Optima funds are also an alternative to our bespoke managed Personal Investment Portfolio Service. Structuring your investment requirements through a portfolio fund solution such as Optima can have tax advantages. In particular it enables Thesis to actively trade inside the funds and move money between investments and asset classes without having to consider a client's personal tax considerations. This provides greater scope to allocate assets depending on market conditions, and to switch between holdings to always be invested in our preferred investments.

- **Client Communication:** As all trading is transacted within our funds rather than between investments in your name, there is no requirement to send you contract notes for your tax records every time we trade and so paperwork can be reduced.

Wrapped within our Optima Portfolio Service, the investor will however receive a detailed twice yearly formal report. As with our bespoke offering, our Optima Service also provides flexible income options and end of year tax information packs for use in tax returns.

Thesis Optima fund prices are published daily and can be found through a variety of media. This allows the interested investor to keep track of the value of their portfolio on a regular basis. In addition to this Thesis can provide access to our web valuation service.

- **Cost Efficiency:** Clients are not invoiced directly for Thesis' management fees for the Optima Portfolio Service. Instead Thesis is remunerated through the Annual Management Charge taken from within our funds. This is our only remuneration and is met gross from within each fund rather than you being invoiced directly to meet from your net earnings.

Thesis is a significant buyer of collective investments. This often means that we've been able to negotiate enhanced terms on the investments that we buy. These enhanced terms are applied within our Optima funds and any initial or trail commissions are rebated back into our funds to benefit the investor.

Ready to find out more?

A range of fact sheets are available on each of the funds available within our Optima Portfolio Service. These detail the investment objective of the fund, income yield and other information to decide which fund or funds best meet your requirements. Our application form is then broken down into easy steps for you to complete and ensure that you provide us with the appropriate documentation for you to make an investment into Optima.

Alternatively Thesis can work with you or you and your financial adviser to help you with your fund selections and ensure that your Optima choices accurately reflect your investment objectives, income requirements and attitude to risk.

Next steps

You may have decided which of the fund choices within the Optima range are best suited to your own objectives and attitude to risk and feel comfortable making an investment into the Optima Portfolio Service.

Investing into the Optima Portfolio Service couldn't be easier and requires the completion of a simple application form along with a cheque made payable to Thesis Asset Management plc for the amount you wish to invest. Once completed, these documents should be sent to our offices in Guildford at the following address: 98/110 High Street, Guildford, Surrey GU1 3HE.

Alternatively, you might require advice as regards the suitability of the Optima Portfolio Service to your needs and objectives and require assistance to determine the investment strategy to be adopted that matches your attitude to risk. In this case, please speak to your Independent Financial Adviser (IFA) or contact any of Thesis' own offices and ask to speak to one of our Investment Managers who would be delighted to help.

Once you have made an investment into the Optima Portfolio Service, initially you'll receive a letter confirming your investment followed approximately four weeks later by details of the investments we have made for you.

Thereafter, every March and September you will receive a half-yearly report that will clearly and concisely set out the valuation of your portfolio along with an account statement and manager's comment on the performance of the funds.

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Visit our website
www.thesis-plc.com

Thesis Asset Management plc and Thesis Unit Trust Management Limited are authorised and regulated by the Financial Services Authority.

Thesis Asset Management plc is an Inland Revenue approved ISA and PEP manager.

The Optima Investment Portfolio is a UK authorised unit trust.

Investors should be aware that the value of their investments and the income from them can fall as well as rise and investors may not receive back the full amount they invest.

Past performance is not necessarily a guide to future performance.

Investments denominated in foreign currencies are subject to fluctuations in exchange rates which can be favourable or unfavourable.